Attorney Docket No. 249/430

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Do-young KIM, et al.

Art Unit:

2815

Serial No.

10/748,271

Examiner:

Joseph H. NGUYEN

Filed:

December 31, 2003

Confirmation No.

6611

For:

THIN FILM TRANSISTOR WITH PROTECTIVE CAP OVER FLEXIBLE

SUBSTRATE, ELECTRONIC DEVICE USING

THE SAME, AND MANUFACTURING

METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Mail Stop: AMENDMENT Commissioner for Patents Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 (or PTO-1449, *modified*) is a listing of a document(s) known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56.

☐ A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. [Box checked if applicable.]

A copy of each listed non-U.S. patent and/or patent publication and cited literature is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. Cited U.S. patents and patent publications are *not* attached in accordance with the waiver set forth in the Notice by the Deputy Commissioner for Patent Examination Policy dated July 11, 2003, applicable to applications having a U.S. filing date *after* June 30, 2003. [Box checked if applicable.]

* * *

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to

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antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Where available, copies of English language patent family members of non-English patent documents have been provided. Such submission of English language patent family members is for the convenience of the Office and is not to be construed as an indication that such English language patent family members are literal translations of the non-English patents. Applicant(s) expressly reserve their right to file verified English translations of the non-English patent documents.

☐ The listed document(s) is/are being submitted in compliance with 37 C.F.R. 1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.]

☐ The foregoing document(s) came to applicant's attention during the prosecution of their corresponding European application. ☐ A copy of the EP Search Report is also attached. [Box(es) checked if applicable.]

CERTIFICATION

The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

* * *

Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE PAYMENT

Ø	The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.]
図	Attached is Form PTO-2038 in payment of this fee (\$180.00). [Box checked if applicable.]
	This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of
	this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

February 23, 2006

Date

Eugene M. Lee, Registration No. 32,039

LEE & MORSE, P.C.

1101 WILSON BOULEVARD, SUITE 2000 ARLINGTON, VA 22209 703.525.0978 TEL 703.525.4265 FAX

DEPOSIT ACCOUNT CHARGE AUTHORIZATION

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. <u>50-1645</u>.

Any additional fee(s) necessary to effect the proper and timely filing of the above-paper may also be charged to Deposit Account No. 50-1645.

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031 ent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/748,271		
Filing Date	December 31, 2003		
First Named Inventor	Do-young KIM, et al.		
Art Unit	2815		
Examiner Name	Joseph H. Nguyen		
Attorney Docket Number	240/430		

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 2001/0050532 A1	12-13-2001	EIDA, et al	-
	2	^{US-} 2002/0057055 A1	05-16-2002	YAMAZAKI, et al.	
	3	US- 2002/0155729 A1	10-24-2002	BALDWIN, et al.	
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 	FORE	IGN PATENT DOCU	MENTS		
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
 	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Signature		Considered	1 	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Under the Paperwork Reduction Act of 1995, no persons are required to res Complete if Known Substitute for form 1449/PTO **Application Number** 10/748,271 INFORMATION DISCLOSURE **Filing Date** December 31, 2003 STATEMENT BY APPLICANT First Named Inventor Do-young KIM, et al. Art Unit 2815 (Use as many sheets as necessary) **Examiner Name** Joseph H. Nguyen Attorney Docket Number Sheet 2 2 249/430 of

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4	Patent Abstracts of Japan, Vol. 2003, No. 12, (5 December 2003) & JP 2003 255857	
		Nippon Hoso Kyokai <nhk></nhk>	
	5	HUR, Ji Ho, et al., "Late-News Paper: A 2 inch A-Si:H TFT-LCD on Plastic", 2002 SID	
		International Symposium Digest of Technical Papers, Boston, MA (US), May 21-23, 2002	
		[SID 02 DIGEST] Vol. 33, No. 2, pp. 802 - 805 (May 2002)	
•	6	SUO, Z., et al., "Mechanics of rollable and foldable fillm-on-foil electronics" Applied	
		Physics Letters, Vol. 74, No. 8, pp. 1177 - 1179, (22 February 1999)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.